

Structure of ALFI committees 2017/2018

B Promotion & Conferences Committee

Chairman: **Michael Ferguson**
(Ernst & Young S.A.)

ALFI Coordinator: **Anouk Agnes**

- B** Promotion Steering Committee
Michael Ferguson
(Ernst & Young S.A.)
- B1** ALFI Conferences Advisory Committee - Euoprean Asset Management
- B2** ALFI Conference Advisory Committee - Global Distribution
- B3** Risk Management Conference
Luc Neuberger (BCEE Asset Management)
Christoph Adamy (Allianz Global Investors GmbH, Zweigniederlassung Luxembourg)
- B4** ALFI Conferences Advisory Committee - PE & RE
- B5** ALFI Golf Event
Ulrich Binninger (ULB Consult S.à.r.l.)
- B6** Roadshows
Michèle Eisenhuth
(Arendt & Medernach S.A.)
- B6.1** ALFI Roadshow to Frankfurt
Catherine Rückel (Pricewaterhouse Coopers, Société Coopérative)
Maria Löwenbrück (Union Investment Luxembourg S.A.)
- B6.2** ALFI Roadshow to Switzerland
Gilbert Schintgen (UBS Fund Management (Luxembourg) S.A.)
Germain Birgen
(Banque de Luxembourg S.A.)
- B6.3** ALFI Roadshow to London
Chris Edge (PricewaterhouseCoopers, Société Coopérative)
- B7** ALFI Advisory Committee
TA & Distribution Operations Forum
Hugo Larginho Bras (PricewaterhouseCoopers, Société Coopérative)
Rafal Kwasny (Franklin Templeton International Services S.à.r.l.)
- B8** Leading Edge Conference
Christophe Lentschat
(Arendt Services S.A.)
Graham Goodhew
- B9** Hong Kong
Ching Yng Choi
(ALFI, Asia Representative Office)

- B10** Middle East
Germain Birgen
(Banque de Luxembourg S.A.)

- B10.1** Dubai
Emmanuelle Entringer
(Arendt & Medernach S.A.)

- B11** Singapore
Valérie Mantot (Sanne Group)
Ching Yng Choi
(ALFI, Asia Representative Office)

C Alternative Investments Committee

Chairman: **Claude Niedner** (Arendt & Medernach S.A.)

ALFI Coordinator: **Susanne Weismüller**

- C1** Alternative Investments Steering Committee
Claude Niedner
(Arendt & Medernach S.A.)
 - C1.1** AIFMD review and developments
Claude Niedner
(Arendt & Medernach S.A.)
 - C2** Hedge Funds
Jerôme Wigny
(Elvinger Hoss Prussen S.A.)
Régis Malcourant (Pricewaterhouse Coopers, Société Coopérative)
 - C3** Real Estate Investment Funds (REIF)
Keith Burman
(MPL Services (Luxembourg) S.A.)
Michael Hornsby (Ernst & Young S.A.)
 - C3.1** REIF Financial Framework
Lize Griffiths (Deloitte Luxembourg)
Alison Macleod (KPMG Luxembourg, Société Cooperative)
 - C3.2** REIF Best Practices – Fund Operations
Abdel Hmitti (State Street Services (Luxembourg)S.A.)
Harald Thul (Deloitte Luxembourg)
 - C3.3** REIF Publications and Media
Robert White (Ernst & Young S.A.)
Alessia Lorenti (Edmond de Rothschild Asset Management (Luxembourg))
 - C3.4** REIF Survey
Catherine Gauthier (Brown Brothers Harriman (Luxembourg) S.C.A.)
Valérie Toller (Deloitte Luxembourg)
 - C3.5** REIF Events
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Structure of ALFI committees 2017/2018

- Lauren Williams** (Pricewaterhouse Coopers, Société Coopérative)
Johan Terblanche (Dechert (Luxembourg) LLP)
- C3.6 REIF Industry Associations**
Sven Rein (Pancura)
Pascal Hernalsteen (Palmarium Fund Managers S.à.r.l.)
- C3.7 REIF Professional Development**
David Louis (Charles Russell Speechlys Luxembourg)
Conor Cleere (Citco Bank Nederland N.V. Luxembourg Branch)
- C3.8 REIF Strategy**
Pierre Kreemer (KPMG Luxembourg, Société coopérative)
Alan Dundon (Alter Domus Luxembourg S.à.r.l.)
- C4 Private Equity & Venture Capital Funds**
Gilles Dusemon (Arendt & Medernach S.A.)
Alain Kinsch (Ernst & Young S.A.)
- C4.1 PE & VC Legal Aspect**
Frédérique Lifrange (Elvinger Hoss Prussen S.A.)
- C4.2 PE & VC Publications**
Joachim Kuske (VP Fund Solutions (Luxembourg))
Arne Bolch (GSK Luxembourg S.A.)
- C5 Infrastructure Funds**
Amaury Evrard (Pricewaterhouse Coopers, Société Coopérative)
Jean-Christian Six (Allen & Overy Luxembourg)
ALFI Coordinator: David Zackenfels
- C5.1 Infrastructure Funds Best Practices**
John Ravoisin (Pricewaterhouse Coopers, Société Coopérative)
Frauke Carola Oddone (KPMG Luxembourg, Société coopérative)
- C5.2 Infrastructure Funds – Tax, Legal and Regulatory**
Thierry Braem (Pricewaterhouse Coopers, Société Coopérative)
Antoine Badot (KPMG Luxembourg, Société coopérative)
- C5.3 Infrastructure Funds – Promotion of Luxembourg**
Pierre Mallet (Pricewaterhouse Coopers, Société Coopérative)
Muhammad Tahir Khan (KPMG Luxembourg, Société coopérative)

- C6 AIFMD Reporting**
Nathalie Dogniez (Pricewaterhouse Coopers, Société Coopérative)
Leon Schwab-Mulvany (BlackRock Fund Management Company S.A.)
- C6.1 AIFMD Reporting – Annual reports**
Nathalie Dogniez (Pricewaterhouse Coopers, Société coopérative)
Leon Schwab-Mulvany (BlackRock Fund Management Company S.A.)
- C7 Debt funds**
Isabelle Dauvergne (Pricewaterhouse Coopers, Société coopérative)
Pierre Kreemer (KPMG Luxembourg, Société coopérative)

D Fund Distribution Committee

Chairman: **David Claus** (The Bank of New York Mellon (Luxembourg) S.A.)

ALFI Coordinator: **Pierre Oberlé**

- D1 Dematerialised Mutual Fund Sales Agreement**
Noel Fessey (European Fund Administration S.A.)
- D2 LFF/ALFI RQFII**
Nicolas Mackel (Luxembourg for Finance)
Camille Thommes (ALFI)
Marc-André Bechet (ALFI)
- D4 UCITS IV – Simplified Notification Procedure**
Michael John Flynn (T. Row Price (Luxembourg) Management S.à.r.l.)
ALFI Coordinator:
Evelyne Christiaens
- D5 Distribution Steering Committee**
David Claus (The Bank of New York Mellon (Luxembourg) S.A.)

E Professional Training Committee

Chairman: **Emmanuel Bégat** (ME Business Solutions S.à.r.l.)

ALFI Coordinator:

F

Legal and Regulatory Committee

Chairman International Affairs:

Hermann Beythan (Linklaters LLP)

Chairman National Affairs:

Gast Juncker (Elvinger Hoss Prussen S.A.)

ALFI Coordinator: Evelyne Christiaens

F1 International Affairs and Developments

Hermann Beythan (Linklaters LLP)

F1.1 UCITS Developments

Hermann Beythan (Linklaters LLP)

F1.2 European Marketing Rules

Hermann Beythan (Linklaters LLP)

Frédérique Lifrange

(Elvinger Hoss Prussen S.A.)

F1.3 Exchange Traded Funds (ETF)

Philippe Lenges

(Deloitte Luxembourg)

F1.4 MiFID/PRIIPs steering committee

Heimo Plössnig (Assenagon Asset Management S.A.)

Alastair Woodward

(Schroder Investment Management (Luxembourg) S.A.)

F1.4.1 MiFID/PRIIPs costs and charges

Michael John Flynn (T. Rowe Price (Luxembourg) Management S.à.r.l.)

Daniela Klasen-Martin

(Crestbridge S.A.)

F1.4.2 MiFID PRIIPs performance scenarios

Olivia Moessner

(Elvinger Hoss Prussen S.A.)

Gregory Surply (Franklin Templeton International Services S.à.r.l.)

F1.4.3 MiFID/PRIIPs risks

Claudy Huart

(UBP Asset Management (Europe) S.A.)

Stefan Junglen (Deutsche Asset Management S.A.)

F1.4.4 MiFID independent advice and inducements

Olivia Tournier-Demal (MJ Hudson Management S.A.)

F1.4.5 MiFID product governance

Florence Stainier

(Arendt & Medernach S.A.)

F1.4.6 MiFID suitability and appropriateness

Josiane Schroeder (Linklaters LLP)

F1.4.7 PRIIPs general aspects

Bernard Lambeau

(More Carrot (Europe) S.à.r.l.)

Mario Mantrisi (LuxFLAG)

F1.4.8 MiFID Research fees

Florence Stainier

(Arendt & Medernach S.A.)

F1.5 Capital Markets Union

Florence Stainier

(Arendt & Medernach S.A.)

Geoff Radcliffe (BlackRock Fund Management Company S.A.)

F1.6 Securitisation

Matthieu Taillandier

(Arendt & Medernach S.A.)

Frank Mausen (Allen & Overy Luxembourg)

F2 National Affairs and Implementation

Gast Juncker

(Elvinger Hoss Prussen S.A.)

F2.1 UCITS Implementation

Gast Juncker

(Elvinger Hoss Prussen S.A.)

F2.2 UCITS Eligible Assets

Emmanuel Frédéric Henrion

(Linklaters LLP)

Michèle Eisenhuth

(Arendt & Medernach S.A.)

Nathalie Dogniez (Pricewaterhouse Coopers, Société Coopérative)

F2.3 Securities Lending

Jacques Elvinger

(Elvinger Hoss Prussen S.A.)

F2.4 Anti-Money Laundering (AML)

Marco Zwick

(RBC Investor Services Bank S.A.)

F2.6 Changes to Luxembourg Company Law

Frédérique Lifrange

(Elvinger Hoss Prussen S.A.)

F2.7 Money Market Funds

Nathalie Dogniez (Pricewaterhouse

Coopers, Société Coopérative)

F2.7.1 Money Market Funds Implementation and Operational Considerations

Nathalie Dogniez (Pricewaterhouse

Coopers, Société Coopérative)

F2.8 Asset Management and Systemic Risk

Geoff Radcliffe (BlackRock Fund

Management Company S.A.)

Nathalie Dogniez (Pricewaterhouse

Structure of ALFI committees 2017/2018

Coopers, Société coopérative)

- F2.9 **Remuneration Policies in the Financial Sector**
Dan Hedley (Fidelity International)
- F2.10 **UCITS Sanctions Regime**
Isabelle Lebbe (Arendt & Medernach S.A.)
- F3 **Pensions & Long Term Savings**
Silke Bernard (Linklaters LLP)
Gerd Gebhard (Pecoma Actuarial and Risk S.A.)
ALFI Coordinator: David Zackenfels
- F3.1 **European Long Term Investment Funds**
Silke Bernard (Linklaters LLP)
Yann Power (ME Business Solutions S.à.r.l.)
- F3.2 **Pan-European personal pension product (PEPP)**
Silke Bernard (Linklaters LLP)
Gerd Gebhard (Pecoma Actuarial and Risk S.A.)
- F3.2.1 **Pan-European personal pension product (PEPP) Tax**
Gerd Gebhard (Pecoma Actuarial and Risk S.A.)
- F4 **General Data Protection Regulation**
Olivier Reisch (DLA Piper)
Frédéric Vonner (Pricewaterhouse Coopers, Société Coopérative)
- F4.1 **GDPR Business impact analyses**
Frédéric Vonner (PricewaterhouseCoopers, Société Coopérative)
Maria Teresa Fulci-de Rosée (Crestbridge S.A.)
- F4.2 **GDPR AML/KYC and tax aspects**
Gast Juncker (Elvinger Hoss Prussen S.A.)
- F4.3 **GDPR General aspects**
Olivier Reisch (DLA Piper Luxembourg)
Anna Roehrs (GAM (Luxembourg) S.A.)



Market Infrastructure Committee

Chairmen: **Frédéric Pérard** (BNP Paribas Securities Services, succursale de Luxembourg)
Geoff Radcliffe (BlackRock Fund Management Company S.A.)
ALFI Coordinators: **François Baratte**

- G1 **Market Infrastructure Steering Committee**
Frédéric Pérard (BNP Paribas Securities Services, succursale de Luxembourg)
- G2 **Total Expense Ratio (TER)**
Daniela Klasen-Martin (Crestbridge S.A.)
- G2.1 **Disclosure of Transaction Costs**
Nadia Faber (Ernst & Young S.A.)
- G2.2 **Total Expense Ratio (TER) Survey**
Daniela Klasen-Martin (Crestbridge S.A.)
- G3 **Global Investment Performance Standards (GIPS)**
Fanny Sergent (Pricewaterhouse Coopers, Société Coopérative)
- G4 **Swing Pricing**
Geoff Radcliffe (BlackRock Fund Management Company S.A.)
Philippe Ringard (JPMorgan Asset Management (Europe) S.à.r.l.)
- G4.1 **Swing Pricing Survey**
Craig Blair (Franklin Templeton International Services S.à.r.l.)
- G5 **Solvency II**
Xavier Zaegel (Deloitte Luxembourg)
Martin Reinhard (KPMG Luxembourg, Société coopérative)
- G6 **EMIR/OTC Derivatives**
Henning Schwabe (Arendt & Medernach S.A.)
Sven Muehlenbrock (KPMG Luxembourg, Société coopérative)
- G7 **Fund Accounting and Reporting Requirements**
Philippe Lenges (Deloitte Luxembourg)
- G8 **International Financial Reporting Standards (IFRS)**
Justin Griffiths (Deloitte Luxembourg)
- G9 **Target 2 Securities/Central Securities Depositories**
- G10 **Statistics & Research**
Olivier Renault (Société Générale Securities Services Luxembourg)
Alfi Coordinator : Régine Rugani
- G10.1 **Data Collection and Nominalization**

G10.2 Economic Research
Paolo Vinciarelli (Banque et Caisse
d'Épargne de l'État, Luxembourg)

H **Responsible Investing Committee**

Chairmen: **Christian Hertz** (Luxembourg
Investment Solutions S.A.)
Corinne Molitor (Innpact S.à.r.l.)
ALFI Coordinator: **Anouk Agnes**

- H1 RI Legal and Policy**
Christian Hertz (Luxembourg
Investment Solutions S.A.)
- H2 RI Market Intelligence and Outreach**
Charles Muller (KPMG Luxembourg,
Société coopérative)
- H3 Impact Investing Conference**
ALFI Coordinator: **Anouk Agnes**
- H4 RI Fund Labels**
Laurent Capolaghi
(Ernst & Young S.A.)

J **Tax Committee**

Chairman: **Georges Bock**
(KPMG Luxembourg, Société coopérative)
ALFI Coordinator: **Marc-André Bechet**

- J1 Tax Steering Committee**
Georges Bock (KPMG Luxembourg,
Société coopérative)
- J2 Subscription Tax**
Oliver Weber (Pricewaterhouse
Coopers, Société Coopérative)
Jacques Elvinger
(Elvinger Hoss Prussen S.A.)
- J2.1 Electronic filing subscription tax
returns**
Kerstin Boernecke (Pricewaterhouse-
Coopers, Société Cooperative)
Uwe Gillin (DZ Privatbank S.A.)
- J3 European Savings Directive (EuSD)**
Georges Bock (KPMG Luxembourg,
Société coopérative)
- J4 Double Tax Treaties**
Keith O'Donnell (Atoz S.A.)
- J5 Value Added Tax, VAT**
Michel Lambion
(Deloitte Luxembourg)
- J6 CRS/Foreign Account Tax
Compliance Act (FATCA) Steering**
Gérard Laures (KPMG Luxembourg,
Société coopérative)

- J6.1 CRS/FATCA Lobbying and
Interpretations**
Gérard Laures (KPMG Luxembourg,
Société coopérative)
 - J6.2 CRS/FATCA Operational Steering
Committee**
Thierry Detz (BNP Paribas Securities
Services, succursale de Luxembourg)
 - J6.3 CRS/FATCA Entities Scoping, KYC,
data protection**
Maria Teresa Fulci-de Rosée
(Crestbridge S.A.)
Thierry Detz (BNP Paribas Securities
Services, succursale de Luxembourg)
 - J6.4 CRS/FATCA Reporting**
Patrice Fritsch (Ernst & Young S.A.)
 - J7 AIFMD Tax Aspects**
Raymond Krawczykowski
(Deloitte Luxembourg)
Patrice Fritsch (Ernst & Young S.A.)
 - J8 Financial Transaction Tax (FTT)**
Thorsten Vollmer
(Deutsche Asset & Wealth
Management Investment GmbH)
 - J9 BEPS**
Georges Bock (KPMG Luxembourg,
Société coopérative)
 - J10 Strategic Tax Issues**
Georges Bock (KPMG Luxembourg,
Société coopérative)
 - J11 German tax**
Judith Mertesdorf-Perathoner
(Franklin Templeton International
Services S.à.r.l.)
Holger Hartmann (bepartners
Bödecker Ersnt & Partner)
 - J12 UK Tax**
Birgitt Schitthoff-Hönninger
(RBC Investor Services Bank S.A.)
 - J13 Austrian Tax**
Johannes Höring
(Structured Invest S.A.)
 - J14 Swiss Tax**
Yvonne Billerbeck (Northern Trust
Global Services PLC, Luxembourg)
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K Risk Management Committee

Chairmen: **Christoph Adamy**
(Allianz Global Investors GmbH,
Zweigniederlassung Luxembourg)
Thomas Nummer (Trinova Group)
Olivier Carré (PricewaterhouseCoopers,
Société Coopérative)

ALFI Coordinator: David Zackenfels

- K1 Risk Management Steering committee**
Christoph Adamy (Allianz Global Investors GmbH, Zweigniederlassung Luxembourg)
 - K2 Risk Management & Promotion & Communication Support**
Thomas Nummer (Trinova S.A.)
Olivier Carré (PricewaterhouseCoopers, Société Coopérative)
Christoph Adamy (Allianz Global Investors GmbH, Zweigniederlassung Luxembourg)
 - K3 Market & Liquidity Risk**
Sven Muehlenbrock (KPMG Luxembourg, Société coopérative)
Michael Derwael (Lombard Odier Funds (Europe) S.A.)
 - K4 Counterparty & Credit Risks**
Luc Neuberg (BCEE Asset Management)
Mike Sommer (Franklin Templeton International Services S.R.L.)
 - K5 Operational Risks**
Graham Goodhew
Sacha Reverdiau (Nomura – Global Funds Management S.A.)
 - K6 Real Asset Risks**
Kai Braun (Ernst & Young S.A.)
Daniela Klasen-Martin (Crestbridge S.A.)
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Forums

2 Governance Forum

Chairman: **Henry Kelly** (KellyConsult S.à.r.l.)
ALFI Coordinator: **David Zackenfels**

- 2.1 **Conducting Officer Roles and ManCo**
Gregory Robinson-Kok (Maitland Luxembourg S.A.)
Craig Blair (Franklin Templeton International Services S.à.r.l.)
- 2.1.1 **Due diligence Reviews of Service Providers**
Revel Wood (FundRock Management Company S.A.)
Elina Vincent (Aberdeen Global Services S.A.)
Gregory Robinson-Kok, (Maitland Luxembourg S.A.)
- 2.2 **ALFI Code of Conducts**
Henry Kelly (KellyConsult S.à.r.l.)

3 Investor Forum

Chairmen: **Simon Ramos** (Deloitte Luxembourg)
Christina Coustry (Banque Internationale à Luxembourg S.A.)
ALFI Coordinator: **Mihaela Mercier**

4 TA & Distribution Forum

Chairman: **Rafal Kwasny** (Franklin Templeton International Services S.à.r.l.)
Hugo Larginho Bras (PricewaterhouseCoopers, Société Coopérative)
ALFI Coordinator: **François Baratte**

- 4.1 **AML / KYC TADO**
Jean-Philippe Houard (The Bank of New York Mellon S.A./ NV, Luxembourg Branch)
- 4.2 **FinTech for TADO**
Keith Hale
- 4.3 **TADO Hot Topics**
- 4.7 **ALFI TA Steering Committee Asia**
Caroline Higgins (Northern Trust)

5 ABBL / ALFI Depository Bank Forum

Chairman: **Christian Ridole** (J.P.Morgan Bank Luxembourg S.A.)
ALFI Coordinator: **François Baratte**

- 5.1 **Depository Bank Forum Steering Committee**
Christian Ridole (J.P.Morgan Bank Luxembourg S.A.)
 - 5.2 **Depository Guidelines & Best Practices**
Yvan de Laurentis (BNP Paribas Securities Services, succursale de Luxembourg)
Christian Ridole (J.P.Morgan Bank Luxembourg S.A.)
 - 5.2.1 **In-Bank assets**
Christoph Haas (Ernst & Young S.A.)
 - 5.2.1.1 **In-Bank Assets Guidelines**
Christoph Haas (Ernst & Young S.A.)
 - 5.2.2 **Not-In-Bank Assets**
Laurent Fessmann (Baker & McKenzie Luxembourg)
Michael Mekhaeel (Brown Brothers Harriman (Luxembourg) S.C.A)
 - 5.2.1.2 **Not-In-Bank Assets Guidelines**
Laurent Fessmann (Baker & McKenzie Luxembourg)
Michael Mekhaeel (Brown Brothers Harriman (Luxembourg) S.C.A)
 - 5.2.4 **Oversight duties**
Christian Ridole (J.P.Morgan Bank Luxembourg S.A.)
 - 5.2.5 **Training and Education**
Yvan de Laurentis (BNP Paribas Securities Services, succursale de Luxembourg)
 - 5.4 **New Regulations Forum**
Hermann Beythan (Linklaters LLP)
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Digital / FinTECH Forum

Chairmen: **Steven Libby** (Pricewaterhouse
Coopers, Société Coopérative)

Serge Weyland

(Edmond de Rothschild Asset Management
(Luxembourg))

ALFI Coordinator: François Drazdik

6.1 Digital/FinTECH Steering Committee

Steven Libby (Pricewaterhouse
Coopers, Société Coopérative)

Serge Weyland ((Edmond de
Rothschild Asset Management
(Luxembourg))

6.2 Digital Investing

Said Fihri (KPMG Luxembourg,
Société coopérative)

Laurent Denayer (ume Luxembourg
S.A.)

6.3 Data Management & Security

Keith Hale

(FinTech Investor / NED)

Marc Mouton

(Arendt & Medernach S.A.)

6.4 Blockchain & Crypto-Currencies

Laurent Marochini (Société Générale
Securities Services Luxembourg)

Pierre Bauwens

(Deloitte Luxembourg)

6.5 Equity Crowd Funding and P2P Lending

David Louis (Charles Russell

Speechlys Luxembourg)

Hakan Lucius

(European Investment Bank)
